

<b>Account #1</b>	<b>Account #2</b>	<b>Account #3</b>	<b>Account #4</b>

## Applicant information

### Information about you

► **Legal name and personal information** (the name displayed on your supporting government photo identification)

Mr.  Miss  Mrs.  Ms.  Dr.

Last name	First name	Middle initial
Social Insurance Number		Date of birth (DD-MMM-YYYY)

► **Preferred name** (if other than your legal name) (optional)

Last name	First name	Middle initial
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► **Contact information**

Primary residential street address (a P.O. box or C/O will not be accepted)		Suite/Apt. no.
City	Province	Postal code
Home phone	Primary email	

► **Residency for tax purposes** (choose **ONE** only)

Canada (include province below)  U.S. (include state below)  Other (include country below)

►	►	►
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► **Citizenship** (complete only those that apply)

Country	Dual citizenship country	Taxpayer Identification Number
►	►	►

► **Preferred language**  English  French

► **Marital status**  Single  Common law  Married  Separated  Divorced  Widowed

► **Dependents**  No  Yes (if yes, how many?) ►

► **Employment status**  Employed  Self-employed  Unemployed  Retired  Homemaker  Student

Employer/Business name (if employed or self-employed only)		Nature of business	
Address of employer/business			Suite/Apt. no.
City	Province/State	Postal code	Country
Occupation			

**Applicant information** (continued)

**Information about your finances**

▶ Annual income		
A. Employment/Business	A	\$ <input style="width: 90%;" type="text"/>
B. Investments	+ B	\$ <input style="width: 90%;" type="text"/>
C. Other (describe) ▶	+ C	\$ <input style="width: 90%;" type="text"/>
<b>D. Total annual income (A+B+C=D)</b>	<b>= D</b>	<b>\$</b> <input style="width: 90%;" type="text"/>

▶ Net worth		
A. Estimated net liquid assets (cash and securities less loans)	A	\$ <input style="width: 90%;" type="text"/>
B. Estimated net fixed assets (fixed assets less liabilities)	+ B	\$ <input style="width: 90%;" type="text"/>
<b>C. Estimated total net worth (A+B=C)</b>	<b>= C</b>	<b>\$</b> <input style="width: 90%;" type="text"/>

▶ Investments and accounts
Please specify how your wealth was accumulated or obtained: (check all that apply)
<input type="checkbox"/> Savings of employment income <input type="checkbox"/> Inheritance <input type="checkbox"/> Real estate investment <input type="checkbox"/> Investment in securities <input type="checkbox"/> Gifts <input type="checkbox"/> Other (please specify) ▶
Are you borrowing money for the purpose of investing?
<input type="checkbox"/> No <input type="checkbox"/> Yes

**Information about your spouse**

**NOTE: This information is required if your marital status is married, common law, or separated.**

Spouse's last name	First name	Middle initial
Employment status: <input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Unemployed <input type="checkbox"/> Retired <input type="checkbox"/> Homemaker <input type="checkbox"/> Student		
Spouse's employer	Nature of business	Occupation

**Alternative mailing address**

**NOTE: Unless otherwise indicated, all mailings will be sent to your primary residential street address.**

Street address	Suite/Apt. no.
City	Province
Postal code	

**Applicant information** (continued)

**Regulatory disclosure information**

**► Relationships**

Are you a partner, director, officer or employee of a member dealer of the Investment Industry Regulatory Organization of Canada (IIROC) or related to any such individual and living in the same household?  No  Yes

**If you have more Insider, Significant Shareholder, or Control relationships, please contact us at 1-844-895-3721.**

**► Insider relationships**

Are you or your spouse an insider, director or senior officer (i.e., an officer or one of the five highest paid employees) of a publicly traded (exchange or over-the-counter) company or affiliate of such a company, or do you or your spouse (individually, or as part of a group) own more than 10% of the voting rights attached to all voting securities?  No  Yes (if yes, please complete company information below)

Company name	Trading symbol	Company name	Trading symbol

If yes, are you a reporting insider under Canadian securities legislation?  No  Yes (if yes, please complete company information below)

Company name	Trading symbol	Company name	Trading symbol

**► Significant shareholder relationships**

Do you or your spouse hold, separately or in combination with other persons, more than 20% of the outstanding voting securities of a publicly traded (exchange or over-the-counter) company or affiliate of such a company?  No  Yes (if yes, please complete company information below)

Company name	Trading symbol	Company name	Trading symbol

**► Control relationships**

Do you or your spouse hold, separately or in combination with other persons, a controlling interest (more than 20% of the outstanding voting securities) in a publicly traded (exchange or over-the-counter) company or affiliate of such a company (individually, or as part of a group)?  No  Yes (if yes, please complete company information below)

Company name	Trading symbol	Company name	Trading symbol

**► Other information about your account**

Will anyone have power of attorney over your account?  No  Yes (if yes, a Power of Attorney Filing Record form must be completed)

Will your account(s) be used by, or on behalf of, any third party or will anyone else have a financial interest in this account?  No  Yes

## Client agreements and signatures

I certify that the information on this form is true and complete; the information on this form shall supersede information previously provided; and the terms and conditions of my BMO SmartFolio Investment Management Agreement remain in effect.

Client signature 	Date (DD-MMM-YYYY)
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