SEC Exchange Act Rule 605 and Rule 606 mandate the public disclosure of order execution and routing practices. SEC Rule 605 requires that BMO Capital Markets Corp. ("BMOCMC") and other market centers that trade national market system securities to prepare, and make available to the public, monthly reports that include uniform statistical measures of execution quality for certain orders received or executed by a market center during regular business hours.

SEC Rule 606 requires BMOCMC and other broker-dealers that route customer orders in equity and options securities to make publicly available quarterly reports that disclose venues to which broker-dealers route non-directed orders. Rule 606 also requires broker-dealers to disclose any relationships that they have with that venue.

BMOCMC is committed to seeking the most favorable terms reasonably available under the circumstances when executing / routing client orders.

BMOCMC provides the necessary order routing and execution information pursuant to SEC Rule 605 and 606. To obtain our order execution and routing information, please see:

https://capitalmarkets.bmo.com/en/about-us/regulatory/

Pursuant to SEC Rule 606, routing information about specific orders will be provided to our customers upon request. Our customers can contact BMOC-606-Client-Requests@bmo.com to request routing information regarding specific orders.

Note:

Please note that, consistent with the requirements of SEC Rule 606(a), the information presented in the report exemplifies only a small portion of BMOCMC's customer order flow. The disclosures required by SEC Rule 606(a) do not encompass all of the information necessary to discern execution quality.

While BMOCMC has carefully prepared the information within the 605 and 606 reports, the data has not been audited and may contain errors.