BMO FUNDS TRANSFER AND DIRECT ROLLOVER FORM ADVISOR CLASS A

BMO Global Asset Management

Traditional IRA, Roth IRA, SEP-IRA, Inherited Traditional IRA or Inherited Roth IRA

For help with this form, or for more information, call us toll-free at 1-800-236-FUND(3863) or 414-287-8555.

Please complete this form to transfer existing IRAs and direct rollovers from qualified retirement plans and 403(b) accounts to your BMO Funds IRA. Attach a copy of your latest statement, certificate of deposit or passbook. ***To convert a Traditional IRA to a Roth IRA, please complete a BMO Funds Roth IRA Conversion Form – Class A****. If this is a new IRA account, also complete an IRA Application – Class A.*

1. Registration and Mailing Address

Name *(first, middle initial, last)*

Street Address

City | State | Zip Code

Social Security Number | Birth Date

Email Address | Daytime Phone | Evening Phone

2. Existing Account Information

Choose one of the following:

☐ **A. IRA Transfer request – for Traditional IRA to Traditional IRA, Roth IRA to Roth IRA, Inherited Traditional IRA to Inherited Traditional IRA or Inherited Roth IRA to Inherited Roth IRA**

Name of Current Custodian *(bank, savings and loan, mutual fund, etc.)*

Address | P.O. Box

City | State | Zip Code

Type of IRA *(check one only)*:

☐ Traditional/SEP-IRA ☐ Roth: Roth Start Date:___________________ ☐ Inherited Traditional IRA ☐ Inherited Roth IRA

Account Name

Account Number or Certificate of Deposit Number and Maturity Date *(if applicable)*

Custodian Contact Person | Custodian Phone

2. Existing Account Information *(continued)*

☐ **B. Direct Rollover Request**

Complete this section for a direct rollover from your qualified retirement plan or 403(b) plan. To avoid delay in establishing your rollover account, please contact your current plan administrator to determine if there are additional documents required to complete the rollover.

____
Name of Current Custodian *(bank, savings and loan, mutual fund, etc.)*

______________________________ ______________
Address P.O. Box

____________________ ____________ ____________
City State Zip Code

____
Name of Plan

____
Plan Account Number

______________________________ ______________
Plan Contact Person Employer Phone

3. BMO Fund(s) Allocation

Select one of the following:

☐ New Account – please complete a BMO Funds IRA Application, Class A (the funds and allocations specified on the New Account Application will be used).

☐ Existing Account – Account Number: ______________________________
Please indicate the funds and allocations you would like below:

BMO Low Volatility Equity Fund (1144)	$__________	__________%
BMO Large-Cap Value Fund (1156)	$__________	__________%
BMO Dividend Income Fund (1145)	$__________	__________%
BMO Large-Cap Growth Fund (1157)	$__________	__________%
BMO Mid-Cap Value Fund (1152)	$__________	__________%
BMO Mid-Cap Growth Fund (1153)	$__________	__________%
BMO Small-Cap Value Fund (1162)	$__________	__________%
BMO Small-Cap Core Fund (1140)	$__________	__________%
BMO Small-Cap Growth Fund (1173)	$__________	__________%
BMO Disciplined International Equity Fund (1166)	$__________	__________%
BMO Global Long/Short Equity Fund (1168)	$__________	__________%
BMO Global Low Volatility Equity Fund (1141)	$__________	__________%
BMO Pyrford International Stock Fund (1154)	$__________	__________%
BMO LGM Emerging Markets Equity Fund (1159)	$__________	__________%
BMO Alternative Strategies Fund (1164)	$__________	__________%
BMO Short-Term Income Fund (1155)	$__________	__________%
BMO Strategic Income Fund (1158)	$__________	__________%
BMO TCH Corporate Income Fund (1161)	$__________	__________%
BMO TCH Core Plus Bond Fund (1150)	$__________	__________%
BMO High Yield Bond Fund (1146)	$__________	__________%
BMO Government Money Market Fund (605)	$__________	__________%
BMO Prime Money Market Fund (200)	$__________	__________%

4. To Current IRA Custodian or Plan Administrator

Please consider this your authorization to send my IRA proceeds or my distribution from my qualified retirement plan or 403(b) plan to BMO Funds. Please sell all assets immediately if no selection is checked below:

1. Please SELL ☐ all, or ☐ partial $_______________ or __________% of my assets in the account referenced in section 2.
   (Please provide this figure as a dollar amount or as a percentage of the total value of your distribution.)
2. Please SELL ☐ immediately, or ☐ at maturity, maturity date ____/____/_____.
   NOTE: There may be penalties for withdrawing certain investments before their maturity. Please contact your current Custodian to determine the applicable penalty, if any. Please send all transfer requests **two weeks** before maturity to ensure a timely transfer.

5. Transfer Instructions for Required Minimum Distributions

You must complete this section if this is a transfer of your entire IRA and you have attained age 70½ or you are the beneficiary of an inherited IRA.

☐ I authorize the current IRA Trustee or Custodian to distribute my required minimum distribution to me prior to transferring my IRA assets. (Please contact your current IRA Trustee or Custodian to determine if additional paperwork is required.)

☐ I authorize the current IRA Trustee or Custodian to transfer my entire IRA account balance and I will direct my new IRA Trustee or Custodian to distribute my required minimum distribution.

6. IRA Owner's Signature

I hereby appoint UMB Bank, n.a. as successor Custodian of my IRA. I hereby authorize my current Trustee or Custodian to transfer my IRA or qualified retirement plan account to the successor Custodian. I authorize the transfer of the IRA assets or direct rollover of qualified retirement plan assets in the manner described above and certify that all of the information provided by me is correct and may be relied upon by my current Trustee or Custodian and my successor Custodian.

I understand that I am responsible for determining my eligibility to transfer within the limits set forth by tax laws, related regulations and plan agreements. I understand that special rules apply to SIMPLE IRA to Traditional IRA transfers. I have been advised to seek the guidance of a tax or legal professional if I am uncertain of the consequences of the transfer to my new IRA. I understand that my successor Custodian cannot provide legal advice. I indemnify and agree to hold my current Trustee or Custodian, UMB Bank, n.a. or BMO Funds and BMO Asset Management Corp. harmless from any liabilities resulting from the transfer to my new IRA.

___________________________________ ________________
Owner's Signature Date

(Important: Please contact your current Custodian to determine if a Medallion Guarantee is required)

Medallion Guarantee

7. Mailing Information

Regular Mail:
BMO Funds – U.S. Services
P.O. Box 219006
Kansas City, MO 64121-9006

Overnight Mail:
BMO Funds – U.S. Services
430 W 7th Street Suite 219006
Kansas City, MO 64105-1407

8. Custodian Acceptance

UMB Bank, n.a. agrees to accept transfer of the above amount for deposit to the Depositor's UMB Bank, n.a. Individual Retirement Custodial Account, and requests the liquidation and transfer of assets as indicated above. See attached Letter of Acceptance for the signature of an authorized officer of the custodial agent.